



**PT PANIN SEKURITAS Tbk AND ITS SUBSIDIARIES**  
**CONSOLIDATED STATEMENT OF FINANCIAL POSITION**  
**30 SEPTEMBER 2021 AND 2020**

(Expressed in Rupiah, unless otherwise stated)

	<b>September 30, 2021</b>	<b>December 31, 2020</b>
	<b>Unaudited</b>	<b>Audited</b>
<b>ASSETS</b>		
Cash and cash equivalents	13,787,096,045	18,121,455,576
Deposit at Clearing and Guarantee Institution	11,914,429,587	11,040,220,298
Receivable from Clearing and Guarantee Institution	699,700,131,300	628,002,354,200
Receivable from customers	893,762,037,334	1,005,355,906,560
Less: Allowance for Impairment Losses		
Receivable from investment manager	13,522,054,332	15,039,400,409
Marketable securities	734,021,270,061	899,214,731,813
Receivables from reserve repo - net	276,894,269,624	293,600,705,053
Estimate claims for tax refund	46,350,000	44,170,982
Prepayment	7,638,078,357	7,236,417,237
Accrued income	60,188,735	11,774,053
Other receivables	20,607,539,000	21,144,831,423
Investment in shares	495,000,000	495,000,000
Property and Equipments, net of accumulated depreciation amounted to		
(Sep 2021 : Rp 51,076,374,268)	25,569,560,738	28,927,503,459
(Des 2020 : Rp 45,839,334,391)		
Right of use assets	24,611,114,854	29,420,365,410
Asset from tax amnesty	387,825,000	387,825,000
Deferred tax assets	4,272,710,541	4,144,465,736
Other assets	3,609,759,170	3,883,548,739
<b>TOTAL ASSETS</b>	<b>2,730,899,414,678</b>	<b>2,966,070,675,948</b>



# PaninSekuritas

## PT PANIN SEKURITAS Tbk AND ITS SUBSIDIARIES CONSOLIDATED STATEMENT OF FINANCIAL POSITION 30 SEPTEMBER 2021 AND 2020

(Expressed in Rupiah, unless otherwise stated)

	September 30, 2021 Unaudited	December 31, 2020 Audited
<b>LIABILITIES</b>		
Bank loans	70,000,000,000	114,000,000,000
Payable to Clearing and Guarantee Institution	492,650,650,500	678,887,992,400
Payable to customers	697,799,560,073	720,236,227,496
Payables to investment manager	1,772,602,508	2,894,897,670
Accruals	8,402,396,177	12,031,249,163
Taxes payable	22,533,219,143	24,983,931,133
Lease liabilities	26,618,032,978	30,684,817,425
Employment benefits obligations	12,454,161,756	12,848,993,756
<b>TOTAL LIABILITIES</b>	<b>1,332,230,623,135</b>	<b>1,596,568,109,043</b>
<b>EQUITY</b>		
<b>Equity attributable to owners of the parent</b>		
Share capital - par value of Rp 125 per share authorized - 1,280,000,000 shares issued and fully paid - up - 720,000,000 shares	90,000,000,000	90,000,000,000
Additional paid - in capital - net	4,973,455,888	4,973,455,888
Treasury stock	(18,409,806,814)	(18,409,806,814)
Retained earnings		
Appropriated	7,529,684,302	7,329,684,302
Unappropriated	1,277,622,700,066	1,252,717,726,631
Other equity components	9,218,733,799	9,218,733,799
	<b>1,370,934,767,241</b>	<b>1,345,829,793,806</b>
<b>Non-controlling interests</b>	<b>27,734,024,302</b>	<b>23,672,773,099</b>
<b>TOTAL EQUITY</b>	<b>1,398,668,791,543</b>	<b>1,369,502,566,905</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>2,730,899,414,678</b>	<b>2,966,070,675,948</b>

**PT PANIN SEKURITAS Tbk AND ITS SUBSIDIARIES**  
**CONSOLIDATED STATEMENTS OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME**  
**FOR THE YEAR ENDED 30 SEPTEMBER 2021 AND 2020**

(Expressed in Rupiah, unless otherwise stated)

	September 30, 2021 Unaudited	September 30, 2020 Unaudited
<b>REVENUES</b>		
Brokerage commissions	119,297,254,991	67,197,535,609
Income from investment manager services	117,369,752,790	106,658,000,388
Interest and dividend income	74,721,928,794	89,314,266,971
Realized gain on trading of marketable securities	6,272,133,184	(1,410,318,536)
Unrealized (loss) / gain on trading of marketable securities - net	(19,934,399,250)	(188,892,701,994)
Underwriting and selling fees	444,265,768	2,585,940,180
<b>Total Revenues</b>	<b>298,170,936,277</b>	<b>75,452,722,618</b>
<b>OPERATING EXPENSES</b>		
Employment	87,631,054,173	67,533,387,387
Commissions	39,780,944,238	30,927,949,085
General and administrative	24,056,373,195	26,521,825,668
Rental and building maintenance	6,350,489,190	6,284,570,449
Depreciation of property and equipments	5,350,280,077	5,105,269,352
Depreciation of right of use assets	4,845,995,433	6,310,244,391
Marketing	723,989,369	1,009,686,156
<b>Total Operating Expenses</b>	<b>168,739,125,675</b>	<b>143,692,932,488</b>
<b>OPERATING PROFIT / (LOSS)</b>	<b>129,431,810,602</b>	<b>(68,240,209,870)</b>
<b>OTHER INCOME / (EXPENSES)</b>		
Interest income	1,029,379,008	1,347,896,650
Gains on sale of property and equipments	3,863,638	(18,664,773)
Gains foreign exchange, net	20,711,414	174,616,836
Interest and financial expensens	(4,708,830,154)	(19,895,914,034)
Other incomes	4,103,123,681	3,215,379,609
Recovery of Impairment losses, net	1,819,662,476	(553,305,391)
<b>Other Expenses - Net</b>	<b>2,267,910,063</b>	<b>(15,729,991,103)</b>
<b>PROFIT / (LOSS) BEFORE INCOME TAX</b>	<b>131,699,720,665</b>	<b>(83,970,200,973)</b>
<b>INCOME TAX EXPENSE</b>		
Current	(30,975,948,080)	(21,739,558,730)
Deferred	128,244,805	507,576,388
<b>Income Tax Expense</b>	<b>(30,847,703,275)</b>	<b>(21,231,982,342)</b>
<b>PROFIT / (LOSS) FOR THE PERIOD ENDED</b>	<b>100,852,017,390</b>	<b>(105,202,183,315)</b>



# PaninSekuritas

## PT PANIN SEKURITAS Tbk AND ITS SUBSIDIARIES CONSOLIDATED STATEMENTS OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME FOR THE YEAR ENDED 30 SEPTEMBER 2021 AND 2020

(Expressed in Rupiah, unless otherwise stated)

	September 30, 2021 Unaudited	September 30, 2020 Unaudited
<b>PROFIT / (LOSS) FOR THE PERIOD ENDED</b>	<u>100,852,017,390</u>	<u>(105,202,183,315)</u>
Other Comprehensive Income		
Items that will not be reclassified to profit or loss:		
Actuarial gain / (loss)	-	-
Related income tax	-	-
	<u>-</u>	<u>-</u>
<b>TOTAL COMPREHENSIVE INCOME / (LOSS) FOR THE PERIOD ENDED</b>	<u><b>100,852,017,390</b></u>	<u><b>(105,202,183,315)</b></u>
<b>Profit / (Loss) attributable to:</b>		
Owners of the parent	96,563,063,435	(103,234,502,697)
Non-controlling interests	4,288,953,955	(1,967,680,618)
	<u><b>100,852,017,390</b></u>	<u><b>(105,202,183,315)</b></u>
<b>Total comprehensive income / (loss) attributable to:</b>		
Owners of the parent	96,563,063,435	(103,234,502,697)
Non-controlling interests	4,288,953,955	(1,967,680,618)
	<u><b>100,852,017,390</b></u>	<u><b>(105,202,183,315)</b></u>
<b>BASIC EARNINGS / (LOSS) PER SHARE</b>	<u><b>135.13</b></u>	<u><b>(144.47)</b></u>

**PT PANIN SEKURITAS Tbk DAN ENTITAS ANAKNYA**  
**LAPORAN POSISI KEUANGAN KONSOLIDASIAN**  
**30 SEPTEMBER 2021 DAN 2020**

(Dinyatakan dalam Rupiah, kecuali dinyatakan lain)

	<b>30 September 2021</b>	<b>31 Desember 2020</b>
	<b>Tidak Audit</b>	<b>Audit</b>
Kas dan setara kas	13.787.096.045	18.121.455.576
Deposito pada Lembaga Kliring dan Penjaminan	11.914.429.587	11.040.220.298
Piutang dari Lembaga Kliring dan Penjaminan	699.700.131.300	628.002.354.200
Piutang nasabah	893.762.037.334	1.005.355.906.560
dikurangi: Cadangan Kerugian Penurunan Nilai		
Piutang kegiatan manajer investasi	13.522.054.332	15.039.400.409
Portofolio efek	734.021.270.061	899.214.731.813
Piutang <i>reverse repo</i> - bersih	276.894.269.624	293.600.705.053
Estimasi tagihan restitusi pajak	46.350.000	44.170.982
Beban dibayar dimuka	7.638.078.357	7.236.417.237
Pendapatan yang masih harus diterima	60.188.735	11.774.053
Piutang lain-lain	20.607.539.000	21.144.831.423
Penyertaan saham	495.000.000	495.000.000
Aset tetap, setelah dikurangi akumulasi penyusutan masing-masing sebesar:		
(Sep 2021 : Rp 51.076.374.268)	25.569.560.738	28.927.503.459
(Des 2020 : Rp 45.839.334.391)		
Aset hak guna	24.611.114.854	29.420.365.410
Aset pengampunan pajak	387.825.000	387.825.000
Aset pajak tangguhan	4.272.710.541	4.144.465.736
Aset lain-lain	3.609.759.170	3.883.548.739
<b>JUMLAH ASET</b>	<b><u><u>2.730.899.414.678</u></u></b>	<b><u><u>2.966.070.675.948</u></u></b>

**PT PANIN SEKURITAS Tbk DAN ENTITAS ANAKNYA**  
**LAPORAN POSISI KEUANGAN KONSOLIDASIAN**  
**30 SEPTEMBER 2021 DAN 2020**

(Dinyatakan dalam Rupiah, kecuali dinyatakan lain)

	<b>30 September 2021</b>	<b>31 Desember 2020</b>
	<b>Tidak Audit</b>	<b>Audit</b>
<b>LIABILITAS</b>		
Utang bank	70.000.000.000	114.000.000.000
Utang pada Lembaga Kliring dan Penjaminan	492.650.650.500	678.887.992.400
Utang nasabah	697.799.560.073	720.236.227.496
Utang kegiatan manajer investasi	1.772.602.508	2.894.897.670
Biaya masih harus dibayar	8.402.396.177	12.031.249.163
Utang pajak	22.533.219.143	24.983.931.133
Liabilitas sewa	26.618.032.978	30.684.817.425
Liabilitas imbalan kerja	12.454.161.756	12.848.993.756
<b>JUMLAH LIABILITAS</b>	<b><u>1.332.230.623.135</u></b>	<b><u>1.596.568.109.043</u></b>
<b>EKUITAS</b>		
<b>Ekuitas yang diatribusikan kepada pemilik entitas induk</b>		
Modal saham - nilai nominal Rp 125 per saham		
modal dasar - 1.280.000.000 saham		
modal ditempatkan dan disetor penuh - 720.000.000 saham	90.000.000.000	90.000.000.000
Tambahan modal disetor - bersih	4.973.455.888	4.973.455.888
Saham treasuri	(18.409.806.814)	(18.409.806.814)
Saldo laba		
Dicadangkan	7.529.684.302	7.329.684.302
Belum dicadangkan	1.277.622.700.066	1.252.717.726.631
Komponen ekuitas lain	9.218.733.799	9.218.733.799
	<b><u>1.370.934.767.241</u></b>	<b><u>1.345.829.793.806</u></b>
<b>Kepentingan non pengendali</b>	<b>27.734.024.302</b>	<b>23.672.773.099</b>
<b>JUMLAH EKUITAS</b>	<b><u>1.398.668.791.543</u></b>	<b><u>1.369.502.566.905</u></b>
<b>JUMLAH LIABILITAS DAN EKUITAS</b>	<b><u>2.730.899.414.678</u></b>	<b><u>2.966.070.675.948</u></b>



## PT PANIN SEKURITAS Tbk DAN ENTITAS ANAKNYA LAPORAN LABA RUGI DAN PENGHASILAN KOMPREHENSIF LAIN KONSOLIDASIAN UNTUK TAHUN YANG BERAKHIR 30 SEPTEMBER 2021 DAN 2020

(Dinyatakan dalam Rupiah, kecuali dinyatakan lain)

	30 September 2021 Tidak Audit	30 September 2020 Tidak Audit
<b>PENDAPATAN USAHA</b>		
Komisi dari transaksi perantara perdagangan efek	119.297.254.991	67.197.535.609
Pendapatan kegiatan manajer investasi	117.369.752.790	106.658.000.388
Pendapatan bunga dan dividen	74.721.928.794	89.314.266.971
Keuntungan atas perdagangan efek yang telah direalisasi (Kerugian) / Keuntungan atas perdagangan efek yang belum direalisasi - bersih	6.272.133.184 (19.934.399.250)	(1.410.318.536) (188.892.701.994)
Pendapatan kegiatan penjamin emisi dan penjualan efek	444.265.768	2.585.940.180
<b>Total Pendapatan Usaha</b>	<b>298.170.936.277</b>	<b>75.452.722.618</b>
<b>BEBAN USAHA</b>		
Kepegawaian	87.631.054.173	67.533.387.387
Komisi	39.780.944.238	30.927.949.085
Umum dan administrasi	24.056.373.195	26.521.825.668
Sewa dan perawatan gedung	6.350.489.190	6.284.570.449
Penyusutan aset tetap	5.350.280.077	5.105.269.352
Penyusutan aset hak guna	4.845.995.433	6.310.244.391
Pemasaran	723.989.369	1.009.686.156
<b>Total Beban Usaha</b>	<b>168.739.125.675</b>	<b>143.692.932.488</b>
<b>LABA / (RUGI) USAHA</b>	<b>129.431.810.602</b>	<b>(68.240.209.870)</b>
<b>PENGHASILAN / (BEBAN) LAIN-LAIN</b>		
Pendapatan bunga	1.029.379.008	1.347.896.650
Keuntungan atas pelepasan aset tetap (Kerugian)/Keuntungan selisih kurs, bersih	3.863.638 20.711.414	(18.664.773) 174.616.836
Beban bunga dan keuangan	(4.708.830.154)	(19.895.914.034)
Pendapatan usaha lainnya	4.103.123.681	3.215.379.609
Kerugian penurunan, bersih	1.819.662.476	(553.305.391)
<b>Total Penghasilan / (Beban) Lain-Lain</b>	<b>2.267.910.063</b>	<b>(15.729.991.103)</b>
<b>LABA / (RUGI) SEBELUM PAJAK PENGHASILAN</b>	<b>131.699.720.665</b>	<b>(83.970.200.973)</b>
<b>BEBAN PAJAK PENGHASILAN</b>		
Kini	(30.975.948.080)	(21.739.558.730)
Tangguhan	128.244.805	507.576.388
<b>Beban Pajak Penghasilan</b>	<b>(30.847.703.275)</b>	<b>(21.231.982.342)</b>
<b>LABA / (RUGI) PERIODE BERJALAN</b>	<b>100.852.017.390</b>	<b>(105.202.183.315)</b>



**PT PANIN SEKURITAS Tbk DAN ENTITAS ANAKNYA**  
**LAPORAN LABA RUGI DAN PENGHASILAN KOMPREHENSIF LAIN KONSOLIDASIAN**  
**UNTUK TAHUN YANG BERAKHIR 30 SEPTEMBER 2021 DAN 2020**

(Dinyatakan dalam Rupiah, kecuali dinyatakan lain)

	30 September 2021 Tidak Audit	30 September 2020 Tidak Audit
<b>LABA / (RUGI) PERIODE BERJALAN</b>	<u>100.852.017.390</u>	<u>(105.202.183.315)</u>
<b>Penghasilan komprehensif lain</b>		
Pos-pos yang tidak akan direklasifikasi ke laba rugi		
Keuntungan / (kerugian) aktuarial	-	-
Pajak penghasilan terkait	-	-
	<u>-</u>	<u>-</u>
<b>JUMLAH LABA / (RUGI) KOMPREHENSIF PERIODE BERJALAN</b>	<u><b>100.852.017.390</b></u>	<u><b>(105.202.183.315)</b></u>
<b>Laba / (Rugi) yang dapat diatribusikan kepada:</b>		
Pemilik entitas induk	96.563.063.435	(103.234.502.697)
Kepentingan non pengendali	4.288.953.955	(1.967.680.618)
	<u><b>100.852.017.390</b></u>	<u><b>(105.202.183.315)</b></u>
<b>Jumlah laba / (rugi) komprehensif yang dapat diatribusikan kepada:</b>		
Pemilik entitas induk	96.563.063.435	(103.234.502.697)
Kepentingan non pengendali	4.288.953.955	(1.967.680.618)
	<u><b>100.852.017.390</b></u>	<u><b>(105.202.183.315)</b></u>
<b>LABA / (RUGI) PER SAHAM DASAR</b>	<u><b>135,13</b></u>	<u><b>(144,47)</b></u>